



## Solutions for Audit Data Readiness

### DART and Other Options

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#### **The Business Challenge**

**When the IRS or other tax and regulatory agencies call, are you ready?** There was a time in the SAP world that being “audit ready” meant implementing SAP DART before archiving. But for many companies, being audit data ready means having a process in place to enable them to respond at a moment’s notice to requirements and information requests from a dizzying array of tax authorities and regulatory regimes. It is an issue that impacts the bottom line: a multi-national company might expect to pay millions in penalties, simply because of their inability to report in a timely manner.

Being audit data ready isn’t easy under any circumstances – it takes deep expertise with SAP and the technology solutions available. DART was originally developed to address the need for IRS data extracts, but businesses with complex operations working in multiple tax and regulatory environments – and sometimes multiple SAP systems – often need greater flexibility than DART enhancements can handle efficiently. These companies need to have it both ways – a standardized, repeatable process to index tax-related data, and the ability to respond efficiently to ad hoc requests.

#### **The Solution**

The Dolphin platform delivers an agile set of technologies and best practices for efficient management of data and documents to improve database performance, retention and destruction policies, control costs, support compliance standards and mitigate risks.

For companies with complex or high-volume audit needs, Dolphin offers a suite of options, from traditional DART for SAP, to ‘on-the-fly’ audit data extraction, delivered in a format the auditors can use to get the job done quickly and effectively.

Dolphin begins engagements by looking at customer needs, and only then recommends the right processes and technologies to meet those needs. We help customers become audit data ready by addressing two key phases of the function: Data Preparation and Extraction from SAP; and Data Presentation.

#### **Dolphin offers DART and other options**

- **DART** – Traditional SAP DART implementations extract data fields most commonly needed for IRS tax audits. Over the years, DART has become a far more global tool that delivers a standardized process for saving, retrieving and reporting tax-related data. To enhance DART, Dolphin deploys PBS CDART software that improves the ease and efficiency of extracting archived data.

#### **Case Study: Custom DART Improves Process, Saves Millions in Penalties**

**Customer:** A major pharmaceutical and health products company.

**The problem:** The corporate tax department could not meet time constraints for IRS IDR’s. Data extraction was fragmented and cumbersome, causing time delays. In fact, slow audit response cost the company *more than \$20 million in tax penalties*. They knew they needed a better way to respond in the future.

**The Solution:** Dolphin implemented SAP DART with additional data fields and custom DART views to support audit requirements and business drivers. This consistent DART solution is being used by all business units and enables the corporate tax department to handle even higher data volumes than they had previously.

**Results:** The client delivers audit data on time, avoiding millions of dollars in penalties.

- **On-Demand Data Extraction** – Beyond DART, newer tools facilitate on-demand tax information retrieval. Dolphin uses several applications that enable extraction of both pre-defined fields and on-demand selection of master, transaction, customizing data and change documents across SAP modules. These on-demand tools provide high performance data extraction, flexibility and rapid results.
- **Content Management** – With a content management system, users can centralize administration and access of data extracts for tax and audit purposes. Dolphin uses PBS Enterprise Content Store (ECS), a powerful content management system for SAP, which allows users to evaluate and manage SAP data from a variety of sources including DART Extracts, DART View files, print-lists, archived data and original documents.
- **Multiple Data Format Output** – For data presentation, newer applications offer customers the ability to perform flexible SAP audit data extraction into multiple output data formats for use with ACL, IDEA, Microsoft Access and Excel, and other audit software and database systems.

### **The Dolphin Advantage**

Dolphin has been delivering SAP-focused solutions for audit data preparation, extraction and presentation for more than a decade. This experience informs Dolphin's suite of cutting edge applications and best practices to solve the most complex audit data preparation, extraction and presentation challenges.

The right solution isn't a single tool – it is the solution that delivers what you need to capture tax and audit data, efficiently, meet ad hoc requests and maintain high system performance. For many environments, the latest version of DART hits the mark for extracting the tax data needed by auditors. Other companies deal with high data volumes and complex tax environments where new technologies and practices can deliver a higher level of flexibility and speed.

### **About Dolphin**

Dolphin makes crucial business operations like data management, data archiving, accounts payable, accounts receivable and order management run better and smarter for organizations using SAP solutions. Focused on improving business performance through Information Lifecycle Management and Business Process Management, Dolphin produces the right solution for each customer, faster, through its unmatched experience in SAP technologies and its proven best practices, tools and SAP add-on applications. Dolphin solutions improve business and IT performance, lower total cost of ownership and deliver high return on investment.

The company was founded in 1995 and has offices in Philadelphia, PA and San Jose, CA. Dolphin solutions are implemented across North America and around the world. **Visit us online at [www.dolphin-corp.com](http://www.dolphin-corp.com)** or email us at [contact@dolphin-corp.com](mailto:contact@dolphin-corp.com).

**Case Study: Accelerate Audits for High Volume Environments**

**The Customer:** A large consumer food manufacturer and marketer.

**The Challenge:** This customer had a very high data volume and DART did not provide the required performance and flexibility to efficiently handle the complexity of IDRs. Data aggregation took a very long time, which delayed the company's ability to respond.

**The Solution:** Dolphin implemented the PBS Enterprise Content Store (ECS). The ECS enables optimized data extraction for evaluation and reporting to generate data sets for Audit Information Requests. In addition, Dolphin configured the PBS Data Export Interface (part of the PBS FI module) to extract tax-relevant data on a business object level.

**The results:** By changing how indexes were created in this high volume environment, and adding a faster, more flexible system, the customer accelerated audit data extraction into custom reports to meet regulatory deadlines.



**Smart Adaptable Proven™**



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